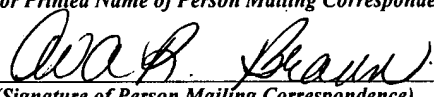


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<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Shiro SAKAI et al.			Docket No. 08228/019001	
Application No. 10/044,686	Filing Date 01/11/2002	Examiner F. C. Hiteshew	Customer No. 22511	Group Art Unit 1765
Invention: NITRIDE SEMICONDUCTOR CHIP AND METHOD FOR MANUFACTURING NITRIDE SEMICONDUCTOR CHIP				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; text-align: center;"><b>Information Disclosure Statement</b> <i>(Identify type of correspondence)</i></div> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>06/29/2004</u> <i>(Date)</i></p> <div style="text-align: center;"><p><u>Ava R. Brown</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p><u></u> <i>(Signature of Person Mailing Correspondence)</i></p><p><u>EV421049668US</u> <i>("Express Mail" Mailing Label Number)</i></p></div>				
<b>Note: Each paper must have its own certificate of mailing.</b>				

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.  
**08228/019001**In Re Application Of: **Shiro SAKAI et al.**JUN 29 2004  
PATENT & TRADEMARK OFFICE

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/044,686	01/11/2002	F. C. Hiteshew	22511	1765	9706

Title: **NITRIDE SEMICONDUCTOR CHIP AND METHOD FOR MANUFACTURING NITRIDE  
SEMICONDUCTOR CHIP**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

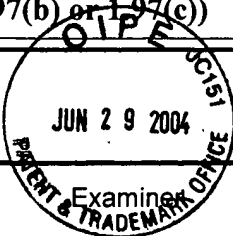
☒ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
08228/019001

In Re Application: Shiro SAKAI et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/044,686	01/11/2002	F. C. Hiteshew	22511	1765	9706

**NITRIDE SEMICONDUCTOR CHIP AND METHOD FOR MANUFACTURING NITRIDE  
SEMICONDUCTOR CHIP****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)
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\*This certificate may only be used if paying by deposit account.

Dated: 6/29/04

#45,079  
Signature  
Jonathan P. Osha, Reg. No. 33,986  
OSHA & MAY L.L.P.  
1221 McKinney Street, Suite 2800  
Houston, Texas 77010  
Telephone: 713/228-8600  
Facsimile: 713/228-8778

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**08228/019001**

In Re Application Of: **Shiro SAKAI et al.**

JUN 29 2004

Application No.  
**10/044,686**

Filing Date  
**01/11/2002**

Examiner  
**F. C. Hiteshew**

Customer No.  
**22511**

Group Art Unit  
**1765**

Confirmation No.  
**9706**

Invention: **NITRIDE SEMICONDUCTOR CHIP AND METHOD FOR MANUFACTURING NITRIDE  
SEMICONDUCTOR CHIP**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Dated: **6/29/04**

**Jonathan P. Osha, Reg. No. 33,986**  
**OSHA & MAY L.L.P.**  
**1221 McKinney Street, Suite 2800**  
**Houston, Texas 77010**  
**Telephone: 713/228-8600**  
**Facsimile: 713/228-8778**

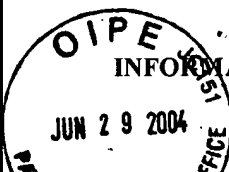
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 <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>	Docket Number (Optional) <b>08228/019001</b>	Application Number <b>10/044,686</b>
	Applicant(s) <b>Shiro SAKAI et al.</b>	
	Filing Date <b>01/11/2002</b>	Group Art Unit <b>1765</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,864,171	01/26/1999	Yamamoto et al.	257	628	03/29/1996

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A2	2002/0063258	05/30/2002	Motoki	257	95	05/25/1999

**FOREIGN PATENT DOCUMENTS**

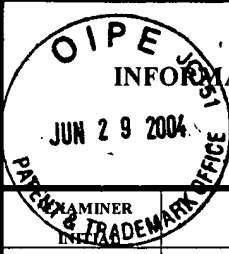
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	A3	JP8-330628	12/13/1996	Japan	HO1L	33/00		✓
	A4	JP11-340576	12/10/1999	Japan	HO1S	3/18		✓

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	A5	Korean Patent Application No. 10-2002-0001554 Office Action dated March 29, 2004 (2pgs)
	A6	Korean Patent Application No. 10-2002-0001554, English translation of Office Action dated March 29, 2004 (2 pgs)

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

 <b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>		Docket Number (Optional) <b>08228/019001</b>	Application Number <b>10/044,686</b>
		Applicant(s) <b>Shiro SAKAI et al.</b>	
		Filing Date <b>01/11/2002</b>	Group Art Unit <b>1765</b>
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
	A7	Japanese Publication No. JP8-330628; English Abstract (1pg.)	
	A8	Japanese Publication No. JP11-340576; English Abstract (1 pg)	
	A9	U.S. Patent Application No. 10/184,305; Office Action dated May 20, 2004 (5 pgs)	
	A10	U.S. Patent Applicatio No. 10/092,231; Office Action dated June 9, 2004 (5 pgs)	
	A11	U.S. Patent Application No. 10/102,863; Office Action dated June 7, 2004 (6 pgs)	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	
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